# Intelligente und effiziente Suche auf semistrukturierten Daten

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# Outline

- Motivation and Challenges
- XXL & XXL-light: IR on XML Data
- Role of Ontologies
- Efficient Evaluation of Top-k Queries
- Ongoing and Future Work

#### A Few Challenging Queries (on Web / Deep Web / Intranet / Personal Info)

- Which professors from Saarbruecken (SB) are teaching IR and have research projects on XML?
- Which gene expression data from Barrett tissue in the esophagus exhibit high levels of gene A01g?
- What are the most important results on large deviation theory?
- Which drama has a scene in which a woman makes a prophecy to a Scottish nobleman that he will become king?
- Who was the French woman that I met at the PC meeting where Paolo Atzeni was PC Chair?
- Are there any published theorems that are equivalent to or subsume my latest mathematical conjecture?

IR

#### What if the Semantic Web Existed and All Information Were in XML?



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#### What if the Semantic Web Existed and All Information Were in XML?

International Conference ...

### **Challenge: Ambiguity !**

Homepage

Address:

Firstname: Sophie Lastname: Cluet

**INRIA** Rocquencourt, 78153 Le Chesnay, France Interests: XML, ...

Homepage Firstname: Maria Address: Lastname: Sanchez Gender: female

Main Street, Paris, Texas 94052

Street: City: Rue de la Paris Chimie 138 Country: France Homepage Name: M.-C. Richard **Biography:** France ... mother of two children ...

Name:

Antoinette

Lagrange

Homepage

**Address** 

Hobbies: Painting,

Address: Rue de Voltaire, 10045 Paris,

### **Our Research Agenda**



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Select U, C From www.allunis.de/unis.xml Where Uni As U And U.#.School?.#.(Inst | Dept)+ As D And D Like ,,%CS%" And D.#.Course As C And C.# Like ,,%Markov chain%"



Select U, C From www.allunis.de/unis.xml Where Uni As U And U.#.School?.#.(Inst | Dept)+ As D And D Like "%CS%" And D.#.Course As C And C.# Like "%Markov chain%"

# **XML-IR Example (4)**



Select U, C From www.allunis.de/unis.xml Where Uni As U And U.# As D And D ~ "CS" And D.#.~Course As C And C.# ~ "Markov chain"



Select U, C From www.allunis.de/unis.xml Where Uni As U And U.# As D And D ~ ,,CS" And D.#.~Course As C And C.# ~ ,,Markov chain"

#### **XML-IR** Concepts

**Example COMPASS** (Concept-Oriented Multi-Format Por • query is a pattern simple, extensible core language – app

Where clause: conjunction of restrict with binding of variabl

**Query Semantics:** 

- with relaxable conditions
- results are approximate matches to query with similarity scores

**Elementary conditions on names and contents** 

Select P, C, R From index Where ~professor As P And **P** = "Saarbruecken" And *P//~course = "Information Retrieval"* As C And *P//~research = ,,~XML"* As *R* 

Semantic similarity conditions on names and contents ~research = "~XML"

**Relevance scoring based on** tf\*idf similarity of contents, ontological similarity of names, probabilistic combination of conditions

# **XML-IR Scoring Model**



**local score** for elementary condition: based on tf\*idf-style statistics for node or node context with score propagation

**global score** for query:  $\sum$  local scores \* compactness

compactness of result: max{∑ node & edge weights | graph connecting matching nodes} → generalized MST (related to Steiner trees)

# **XML-IR Scoring Model**



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# **XML-IR Scoring Model**



#### local score for

Efficient score computation: heuristics work; advanced algorithms is open issue

statistics ext n

**global score** for query:  $\sum$  local scores \* compactness

compactness of result: max{∑ node & edge weights | graph connecting matching nodes} → generalized MST (related to Steiner trees)

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### **On Thesauri and Ontologies**

- Taxonomy: classification of concepts into groups (and trees of groups)
- **Thesaurus**: repository (,,treasure") of synonyms (and other relationships between words and concepts)
- **Ontology**: metaphysical study of the nature of being & existence
- **Ontology (new definition)**: structured repository of knowledge with a description of concepts and relationships, possibly in the form of description logics formula
- XML schemas, DTDs, namespaces: syntactic conventions and standardized naming (plus typing info)Gazetteer: (geographical) dictionary of names

#### **Reasoning on Ontologies and Thesauri:**

Professor  $\subseteq$  Lecturer  $\cap \exists$  hasStaff.SecretaryTeaching  $\supseteq$  Cou<br/>Professor  $\subseteq$  Acad<br/>Academician  $\subseteq$  H<br/>Human  $\subseteq$  CarnivProfessor  $\subseteq$  Acad<br/>pragmatic, rich, efficient

- → logical inferences with sub-FOL calculus
- → transitive closures, shortest paths, etc. along generalizations

#### **Example WordNet**

7% WordNet 1.6 Browser	
File History Options Help	
Search Word: woman Redispla	ay Overview
Searches for woman: Noun Senses:	
1 of 4 senses of woman	
Sense 1 woman, adult female (an adult female person (as opposed to a man); "the woman kept house while the man hunted") => Eve ((Old Testament) Adam's wife in Judeo-Christian mythology: the first woman and mother of the human race; God created Eve from Adam's rib and p => black woman (a woman who is Black) => white woman (a woman who is White) => uellow woman (affensive term for an Oriental woman)	placed Ada
woman, adult female – (an adult female person)	
=> amazon, virago – (a large strong and aggressive wor	ian)
=> donna (an Italian woman of rank)	
=> geisha, geisha girl ()	
=> lady (a polite name for any woman)	
•••	
=> wife – (a married woman, a man's partner in marriag	ge)
=> witch – (a being, usually female, imagined to	
have special powers derived from the devil)	

=> maenad -- (an unnaturally frenzied or distraught woman) => matron, head nurse -- (a woman in charge of nursing in a medical institution)

### **Ontology Visualization**



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# **Ontology Graph**

An ontology graph is a directed graph with concepts (and their descriptions) as nodes and semantic relationships as edges (e.g., hypernyms).



Weighted edges capture strength of relationships  $\rightarrow$  key for identifying closely related concepts

# **Statistics for Weighted Ontological Relations**

Gather statistics from large corpus or by (focused) Web crawl

Various correlation measures for sim(c1, c2):

**Dice coefficient:**  $\frac{2|\{docs with c1\} \cap \{docs with c2\}|}{|\{docs with c1\}| + |\{docs with c2\}|}$ 

*Jaccard coefficient:*  $\{ docs with c1 \} \cap \{ docs with c2 \}$ 

 $|\{ docs with c1\}| + |\{ docs with c2\}| - |\{ docs with c1 and c2\}|$ 

**Conditional** *P*[doc has c1 | doc has c2] **probabilites:** 

Transitive similarity:

 $sim^*(c1, cn) = max\{\prod_{i=1..n-l} sim(c_i, c_{i+1}) \mid all \text{ paths from } c1 \text{ to } cn\}$ 

compute by (adaptation of) Dijkstra's shortest-path algorithm

### **Benefits from Ontology Service**

Ontology service accessible via SOAP or RMI Ontology filled with WordNet, geo gazetteer, focused crawl results, extracted tables & forms

usefor for:

- Threshold-based query expansion
- Query keyword disambiguation
- Support for automatic tagging of HTML and enhanced XML tags
- Mapping of concept-value query conditions onto Deep-Web portals

# **Query Expansion**

Threshold-based query expansion:

substitute ~w by  $(c_1 | ... | c_k)$  with all  $c_i$  for which  $sim(w, c_i) \ge \delta$ 

"Old hat" in IR; highly disputed for danger of topic dilution

#### Approach to careful expansion:

- determine phrases from query or best initial query results (e.g., forming 3-grams and looking up ontology/thesaurus entries)
- if uniquely mapped to one concept then expand with synonyms and weighted hyponyms

# **Query Expansion Example**

From TREC 2004 Robust Track:

#### **Title:** International Organized Crime

**Description:** Identify organizations that participate in international criminal activity, the activity, and, if possible, collaborating organizations and the countries involved.

#### **Query** = {international[0.145|1.00],

~META[1.00|1.00][{gangdom[1.00|1.00], gangland[0.742|1.00],

''organ[0.213|1.00] & crime[( mafia[0.154|1.00], ''sicilian[0, ''black[0.066|1.00] & hand[0, organ[0.213|1.00], crime[0.31

columbian[0.686|0.20], cartel

#### 135530 sorted accesses in 11.073 **Results:**

- 1. Interpol Chief on Fight Agai....
- 2. Economic Counterintelligen
- 3. Supreme Procuratorate Wor
- 4. Crime and Punishment in the
- 5. SWITZERLAND CALLED JULI COLLEG

... for organizing the illicit export of metals and import of arms. It is extremely difficult for the law-enforcement organs to investigate and stamp out corruption among leading officials.

A parliamentary commission accused Swiss prosecutors today of doing little to stop drug and money-laundering international networks from pumping billions of dollars through Swiss companies.

### **Keyword-to-Concept Mapping and Word Sense Disambiguation**

Example: "Java class socket" vs. "Java beach snorkeling" Which concept should "Java" be mapped to for query expansion?

Note: unlike in LSI or pLSI, concepts are explicit, not latent!

Approach for query keyword disambiguation:

- form contexts con(w) and con( $c_i$ ) for keyword w and potential target concepts  $c_i \in \{c_1, ..., c_k\}$
- bag-of-words similarity sim(con(w), con(c)) based on cos or KL diff
- choose concept argmax<sub>c</sub> {sim(con(w), con(c))}



#### What About Deep Web and Web Services? Mapping of concept-value query conditions onto Deep-Web portals: $\rightarrow$ instrument = (flute | piccolo | recorder) ~sheetmusic = ,,~flute" category = reeds $\rightarrow$ style = ( classical | jazz | folk ) $\rightarrow$ digital sheet music | music books | power search | wish list <element name="WSF\_Form0Select0\_Enum"> power search find your favorite sheet mu <simpleType> <restriction base="string"> </restriction> search for: all items </simpleType> </element> available in: show all results \*Applies to ( <simpleType name="WSF\_Form0Select1\_Enum"> notation type: Call Cleasy play Clpiano/vocal CITAB <restriction base="string"> keyword: <enumeration value=,,Alternative"/> <enumeration value=,,Blues"/> title/song: <enumeration value=,,Children's"/> artist/composer: <enumeration value=,,Classical"/> instrument: <enumeration value=,,Country"/> style: all styles **Observations:** scoring: all scorings • Deep Web has > 500 000 hidden databases difficulty: all levels (for digital sheet music only) with > 500 billion ( $5*10^{11}$ ) dynamic pages vrics: (for digital sheet music only) • High ,,redundancy" among query forms show items per page: 10 $\rightarrow$ enables exploitation of statistics go search now!



go search now!

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<u>Given:</u> query q = t1 t2 ... tz with z (conjunctive) keywords similarity scoring function score(q,d) for docs d  $\in$  D, e.g.:  $\vec{q} \cdot \vec{d}$ <u>Find:</u> top k results with regard to score(q,d) (e.g.:  $\Sigma_{i \in q} s_i(d)$ )

Naive QP algorithm:

candidate-docs :=  $\emptyset$ ; for i=1 to z do {

candidate-docs := candidate-docs  $\cup$  index-lookup(ti) }; for each dj  $\in$  candidate-docs do {compute score(q,dj)}; sort candidate-docs by score(q,dj) descending; "Fagin's TA" (Fagin'01; Güntzer/Kießling/Balke; Nepal et al.)

scan all lists L<sub>i</sub> (i=1..m) in parallel: consider dj at position pos<sub>i</sub> in Li; high<sub>i</sub> := s<sub>i</sub>(dj); if dj ∉ top-k then {

*but random accesses are expensive !* 

look up s<sub>v</sub>(dj) in all lists L<sub>v</sub> with v≠i; // random access compute s(dj) := aggr {s<sub>v</sub>(dj) | v=1..m}; if s(dj) > min score among top-k then add dj to top-k and remove min-score d from top-k; }; threshold := aggr {high<sub>v</sub> | v=1..m}; if min score among top-k ≥ threshold then exit;

m=3	f: 0.5 b: 0.4		a: 0.55 b: 0.2		h: 0.35 d: 0.35	top-k:
III–3	<b>c: 0.35</b>		f: 0.2		<b>b: 0.2</b>	
aggr: sum	a: 0.3	5.8	g: 0.2	100	a: 0.1	
k=2	h: 0.1		<b>c: 0.1</b>		c: 0.05	a: 0.95
R-2	d: 0.1				f: 0.05	b: 0.8

applicable to XML data: course ~ "Internet" and ~topic = "performance"

#### **TA-Sorted**

scan index lists in parallel: consider dj at position pos<sub>i</sub> in Li;  $E(dj) := E(dj) \cup \{i\}; high_i := si(q,dj);$ bestscore(dj) := aggr{x1, ..., xm} with xi := si(q,dj) for  $i \in E(dj)$ , high<sub>i</sub> for  $i \notin E(dj);$ worstscore(dj) := aggr{x1, ..., xm} with xi := si(q,dj) for  $i \in E(dj), 0$  for  $i \notin E(dj);$ top-k := k docs with largest worstscore; threshold := bestscore{d | d not in top-k}; if min worstscore among top-k ≥ threshold then exit;

m=3 aggr: sum	f: 0.5 b: 0.4 c: 0.35 a: 0.3		a: 0.55 b: 0.2 f: 0.2 g: 0.2		h: 0.35 d: 0.35 b: 0.2 a: 0.1	
k=2	<b>h: 0.1</b>	100 miles	<b>c: 0.1</b>	Sales and	c: 0.05	
	d: 0.1				<b>f: 0.05</b>	

top-k:
a: 0.95
b: 0.8
candidates:
$f: 0.7 + ? \le 0.7 + 0.1$
$h: 0.45 + ? \le 0.45 + 0.2$
$\frac{-c: 0.35 + ?}{-1: 0.25 + 9} \le 0.35 + 0.35$
$-d: 0.35 + ? \le 0.35 + 0.3$

 $g: 0.2 + ? \le 0.2 + 0.3$ 

**Top-k Queries with Probabilistic Guarantees** TA family of algorithms based on invariant (with sum as aggr)  $\sum_{i \in E(d)} s_i(d) \le s(d) \le \sum_{i \in E(d)} s_i(d) + \sum_{i \notin E(d)} high_i$ **Relaxed into probabilistic invariant**  $p(d) := P[s(d) > \delta] = P[\sum_{i \in E(d)} s_i(d) + \sum_{i \notin E(d)} S_i > threshold]$  $= P[\sum_{\substack{i \notin E(d)}} S_i > threshold - \sum_{i \in E(d)} s_i(d)] =: P[\sum_{\substack{i \notin E(d)}} S_i > \delta'] \leq \varepsilon$ where the RV S<sub>i</sub> has some (postulated and/or estimated) distribution in the interval (0,high<sub>i</sub>] a: 0.55 h: 0.35 f: 0.5 • Discard candidates with  $p(d) \leq \varepsilon$  Exit index scan when candidate list empty



- postulating *uniform or Zipf* score distribution in [0, high<sub>i</sub>]
  - compute convolution using LSTs
  - use Chernoff-Hoeffding tail bounds or generalized bounds for correlated dimensions (Siegel 1995)
- fitting *Poisson* distribution (or Poisson mixture)
  - over equidistant values:  $P[d = v_j] = e^{-\alpha_i} \frac{\alpha_i^{j-1}}{(i-1)!}$
  - easy and exact convolution
- distribution approximated by *histograms, engineering-wise* 
  - precomputed for each dimension *histograms work best!*
  - dynamic convolution at query-execution time

with independent Si's or with correlated Si's

#### **Prob-sorted Algorithm (Smart Variant)** *Prob-sorted (RebuildPeriod r, QueueBound b):* ... scan all lists Li (i=1..m) in parallel: ...same code as TA-sorted...

*Il queue management* 

for all priority queues q for which d is relevant do insert d into q with priority bestscore(d); // periodic clean-up if step-number mod r = 0 then // rebuild; single bounded queue if strategy = Smart then for all queue elements e in q do update bestscore(e) with current high\_i values; rebuild bounded queue with best b elements; if prob[top(q) can qualify for top-k] < ε then exit;

if all queues are empty then exit;

#### **Performance Results for .Gov Queries**

*on .GOV corpus from TREC-12 Web track:* 1.25 Mio. docs (html, pdf, etc.)

50 keyword queries, e.g.:

- "Lewis Clark expedition",
- "juvenile delinquency",
- "legalization Marihuana",

• "air bag safety reducing injuries death facts"

speedup by factor 10 at high precision/recall (relative to TA-sorted);

aggressive queue mgt. even yields factor 100 at 30-50 % prec./recall

	<b>TA-sorted</b>	<b>Prob-sorted</b> (smart)
<b>#sorted accesses</b>	2,263,652	527,980
elapsed time [s]	<b>148.7</b>	15.9
max queue size	10849	400
relative recall	1	0.69
rank distance	0	39.5
score error	0	0.031

#### .Gov Expanded Queries

*on .GOV corpus with query expansion based on WordNet synonyms:* 50 keyword queries, e.g.:

- "juvenile delinquency youth minor crime law jurisdiction offense prevention",
- "legalization marijuana cannabis drug soft leaves plant smoked chewed euphoric abuse substance possession control pot grass dope weed smoke"

	<b>TA-sorted</b>	<b>Prob-sorted</b> (smart)
#sorted accesses	22,403,490	18,287,636
elapsed time [s]	<b>7908</b>	1066
max queue size	70896	400
relative recall	1	0.88
rank distance	0	14.5
score error	0	0.035

#### **Performance Results for IMDB Queries**

on IMDB corpus (Web site: Internet Movie Database):
375 000 movies, 1.2 Mio. persons (html/xml)
20 structured/text queries with Dice-coefficient-based similarities of categorical attributes Genre and Actor, e.g.:

• Genre ⊇{Western} ∧ Actor ⊇{John Wayne, Katherine Hepburn}

 $\wedge$  Description  $\supseteq$  {sheriff, marshall},

Genre ⊇ {Thriller} ∧ Actor ⊇ {Arnold Schwarzenegger}
 ∧ Description ⊇ {robot}

The set of the set of	<b>TA-sorted</b>	<b>Prob-sorted</b> (smart)
#sorted accesses	1,003,650	403,981
elapsed time [s]	201.9	12.7
max queue size	12628	400
relative recall	1	0.75
rank distance	0	126.7
score error	0	0.25

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#### Exploiting Collective Human Input for Collaborative Web Search

- Beyond Relevance Feedback and Beyond Google -

href links are human endorsements → PageRank, etc.
<u>Opportunity</u>: online analysis of human input & behavior may compensate deficiencies of search engine

<u>Typical scenario</u> for 3-keyword user query: a & b & c  $\rightarrow$  top 10 results: user clicks on ranks 2, 5, 7

- → top 10 results: u query logs, bookmarks, etc. provide
  - human assessments & endorsements
- → top 10 results: u

   correlations among words & concepts
  u
  u
  and among documents
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Challenge: How can we use knowledge about the collective input of all users in a large community?

# **Concluding Remarks**

*long-term goal:* exploit the Web's potential for being the world's largest knowledge base

- *XML* and *Semantic Web* are key assets, but by themselves not sufficient; we need to cope with *diversity*, *incompleteness*, and *uncertainty* → absolute need for ranked retrieval
- view *information organization* and *information search* as dual views of the same problem
- combine techniques from *DBS*, *IR*, *CL*, *AI*, and *ML*
- need better *theory* about quality/efficiency *tradeoffs* as well as *large-scale experiments*